



Attorney's Docket No.: LABV.P0002 Patent

### DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if several names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method And Apparatus For Automatic Tissue Staining

the specification of which

is attached hereto.

X was filed on January 14, 2000 as  
United States Application Number 09/483,248  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention (1) was ever known or used in the United States of America before my invention thereof, (2) was patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, (3) was in public use or on sale in the United States of America more than one year prior to this application, or (4) was patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 (and reprinted in Appendix A).



Attorney's Docket No.: LABV.P0002 Patent

Claim of Benefit to Provisional Application(s)

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
Filing Date

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
Filing Date

Claim of Benefit to Prior Non-Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
Filing Date

\_\_\_\_\_  
(Status -- patented,  
pending, abandoned)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
Filing Date

\_\_\_\_\_  
(Status -- patented,  
pending, abandoned)

Claim of Foreign Priority

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority  
Claimed

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

\_\_\_\_\_  
Yes

\_\_\_\_\_  
No

\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

\_\_\_\_\_  
Yes

\_\_\_\_\_  
No

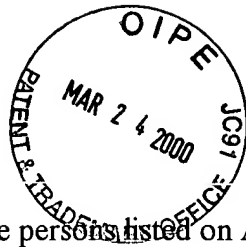
\_\_\_\_\_  
(Number)

\_\_\_\_\_  
(Country)

\_\_\_\_\_  
(Day/Month/Year Filed)

\_\_\_\_\_  
Yes

\_\_\_\_\_  
No



Attorney's Docket No.: LABV.P0002 Patent

Power of Attorney

I hereby appoint the persons listed on Appendix B hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to:

Dag Johansen  
(Name of Attorney or Agent)  
STATTLER, JOHANSEN & ADELI LLP  
P.O. Box 51860  
Palo Alto, California 94303-0728

and direct telephone calls to

Dag Johansen, at (650) 934 0470 x101.  
(Name of Attorney or Agent)

**I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.**

Full Name of Sole/First Inventor Ken K. Tseung

Inventor's Signature *Ken Tseung* Date 3/16/00

Residence Fremont, California Citizenship USA  
(City, State) (Country)

Post Office Address 4018 Allyson Terrace  
Fremont, California 94538

Full Name of Second/Joint Inventor Glenn Takayama

Inventor's Signature *Glenn Takayama* Date 3/16/00

Residence Danville, California Citizenship USA  
(City, State) (Country)

Post Office Address 240 Heather Place



Attorney's Docket No.: LABV.P0002 Patent

Danville, California 94526

Full Name of Third/Joint Inventor Norman K. Rhett

Inventor's Signature Norman K. Rhett Date 3/16/00

Residence San Ramon, California Citizenship USA  
(City, State) (Country)

Post Office Address 544 Santander  
San Ramon, California 94583

Full Name of Fourth/Joint Inventor Mark V. Corl

Inventor's Signature Mark V. Corl Date March 16, 2000

Residence Fremont, California Citizenship USA  
(City, State) (Country)

Post Office Address 40640 High Street #912  
Fremont, California 94538



APPENDIX A  
Title 37, Code of Federal Regulations, Section 1.56  
Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

(1) Prior art cited in search reports of a foreign patent office in a counterpart application, and  
(2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or  
(2) It refutes, or is inconsistent with, a position the applicant takes in:  
(i) Opposing an argument of unpatentability relied on by the Office, or  
(ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application;  
(2) Each attorney or agent who prepares or prosecutes the application; and  
(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.


(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.



Attorney's Docket No.: LABV.P0002 Patent

APPENDIX B

Mani Adeli, Reg. No. 39,585; Dag H. Johansen, Reg. No. 36,172; John Stattler, Reg. No. 36,285, my patent attorneys, and James C. Mitchell, Reg. No. 40,094; my patent agent, of STATTLER, JOHANSEN & ADELI LLP, with offices located at 2672 Bayshore Parkway - Suite 304, Mountain View, California 94043, telephone (650) 934-0470, fax (650) 934-0475.

Applicant/Patentee: Glenn Takayama Serial No./Patent No.: 09/483,248 Filed on: January 14, 2000 For: Method And Apparatus For Automatic Tissue Staining	Docket No.: LABV.P0002 
--	---

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS**  
**37 C.F.R. §§ 1.9(d) AND 1.27(c) — SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Lab Vision Corporation

ADDRESS OF CONCERN: 47790 Westinghouse Drive, Fremont, CA 94539.

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.12, and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Method And Apparatus For Automatic Tissue Staining, by inventor(s) Ken K. Tseung, Glenn Takayama, Norman K. Rhett, Mark V. Corl described in

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d), or a nonprofit organization under 37 C.F.R. § 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern or organization is listed below.

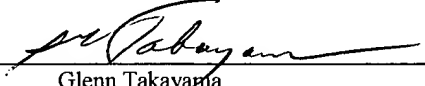
NAME	ADDRESS	TYPE
		<input type="checkbox"/> Individual <input type="checkbox"/> Small Business Concern <input type="checkbox"/> Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. § 1.27)

I acknowledge the duty to file, in this application or patent, notification or any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Glenn Takayama  
 TITLE OF PERSON IF OTHER THAN OWNER: President  
 ADDRESS OF PERSON SIGNING: 240 Heather Place, Danville, California 94526

SIGNATURE:  DATE: 3/16/00  
 Glenn Takayama